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9 2005 Standar the Paperwork Reduction Act of 1995	no persons are requ	U.S. Pati	ent and Trade	pproved for use through 07/31/2006. OMB 0651-0031 emark Office; U.S. DEPARTMENT OF COMMERCE mation unless it displays a valid OMB control number.	
Adjudes the Paperwork Reduction Act of 1993	, no persons are requ	Application Num		10/659,010	
TRANSMITTAL		Filing Date		September 10, 2003	
FORM		First Named Inve	ntor	Mark L. Mathis	
(to be used for all correspondence after initial filing)		Art Unit		3731	
·		Examiner Name		Not Yet Assigned	
Total Number of Pages in This Submission	. 5	Attorney Docket 1	Number	29912-703.401	
ENCLOSURES (Check all that apply)					
Fee Transmittal Form Fee Attached  Amendment/Reply After Final Affidavits/declaration(s)  Extension of Time Request Express Abandonment Request Information Disclosure Statement Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application	Petition Petition Provisio Power o Change Termina Request	g-related Papers  to Convert to a nal Application f Attorney, Revocation of Correspondence A l Disclaimer for Refund mber of CD(s)		After Allowance communication to Technology Center (TC)  Appeal Communication to Board of Appeals and Interferences  Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)  Proprietary Information  Status Letter  Other Enclosure(s) (please identify below):	
Response to Missing Parts under 37 CFR 1.52 or 1.53					
SIGNATURE OF APPLICANT, ATTORNEY OR AGENT					
Firm or Individual name  James R. Shay, Reg. No. 32,062, WILSON SONSINI GOODRICH & ROSATI					
Signature					
Date   April 29, 2005					
CERTIFICATE OF TRANSMISSION/MAILING					
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.					
Typed or printed name Annette Palladino					
Signature annual Cunette	ie	Date	April 29, 2005		

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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## PATENT Attorney Docket No. 29912-703.401

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application	)	
**	)	Confirmation No.: 3496
Inventor: Mark L. Mathis	)	
	)	Art Unit: 3731
Application No.: 10/659,010	)	
••	)	Examiner: Not Yet Assigned
Filed: September 10, 2003	)	•
•	)	Customer No. 021971
Title: Mitral Valve Therapy Assembly	)	
And Method		

## **PRELIMINARY AMENDMENT**

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## **Introductory Comments**:

Prior to an examination on the merits of the above-identified application please amend the application as follows:

Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this paper.